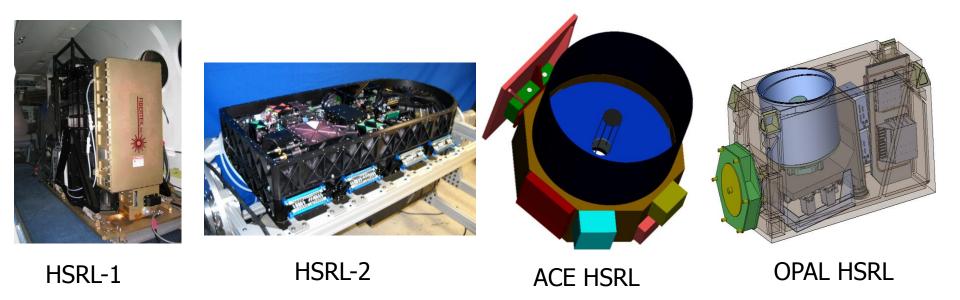
Aerosol-Cloud-Ocean Lidar Mission Concepts and Airborne Science Demonstration



Chris Hostetler, John Hair, Rich Ferrare, Yongxiang Hu, Mike Behrenfeld, Sharon Burton, Carolyn Butler, Amy Jo Scarino, Detlef Müller, Eduard Chemyakin, and Patricia Sawamura

Outline



- Mission concepts and measurement requirements for nextgeneration aerosol, cloud, ocean lidars beyond CALIPSO, CATS, and ATLID.
- Implementation concepts: how do they differ from CALIOP on CALIPSO?
- Results from airborne prototypes: are the airborne prototypes showing that we can make the required measurements?



Next-generation mission concepts and measurement requirements for aerosol, cloud, and ocean lidar

Two future mission concepts: ACE and OPAL



- Aerosols-Clouds-Ecosystems (ACE) Mission
 - Mission identified by the US "Decadal Survey"
 - Earth Science and Applications from Space: National Imperatives for the Next Decade and Beyond (National Research Council, 2007)
 - Includes lidar, radar, polarimeter, and ocean color radiometer
 - Science working groups developed white papers on science and measurement requirements for each instrument
- Ocean Profiling and Atmospheric Lidar (OPAL)
 - A mission concept developed at NASA Langley
 - Scaled down version of ACE lidar provides an example of an instrument realizable in the very near term
- Other concepts are under development, but the above are a good starting point for discussion

ACE Lidar Measurement Requirements



Aerosol

- Aerosol optical depth in complex scenes that confound passive sensors (beneath tenuous cirrus, holes between broken cloud, ...)
- Vertically resolved aerosol extinction, backscatter and depolarization
- Vertically resolved aerosol type and amount to assess/improve chemical transport models
- Vertically resolved optical, macrophysical, and microphysical properties for aerosol direct forcing and indirect effects

Cloud

- Cloud top height
- Tenuous cloud vertical structure and optical depth
- Cloud phase and ice water content

Ocean Ecosystems

- Aerosol correction for Ocean Color Radiometer
- Aerosol measurements for ocean-atmosphere interactions
- Goal: subsurface particulate backscatter for estimates of particulate organic carbon and net primary productivity

Requirements driving instrument architecture



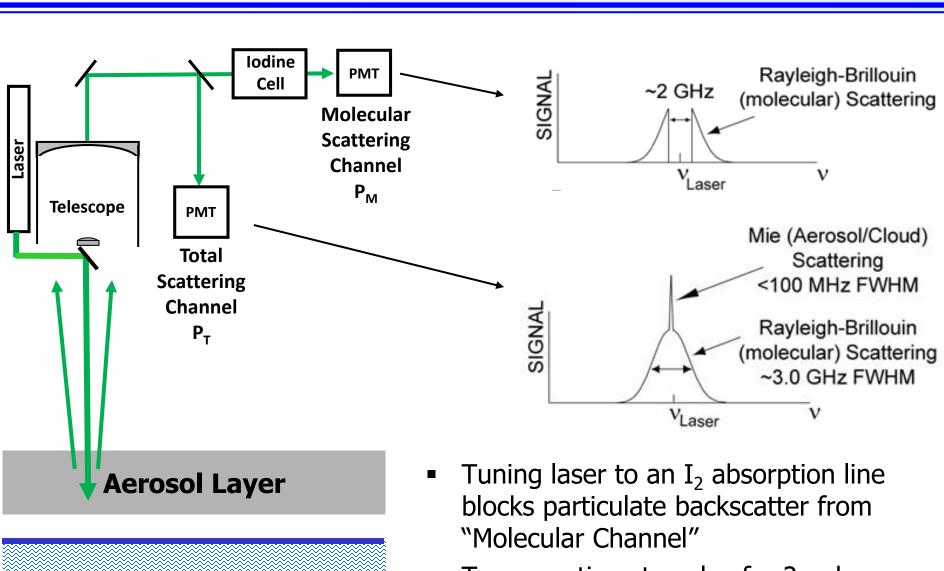
DARF = Direct Radiative Forcing CAI = Cloud Aerosol Interactions

- Aerosol measurements explicitly drove the lidar architecture
 - Driving requirements are for vertically-resolved aerosol microphysics:
 - Aerosol size (DARF and CAI)
 - Index of refraction (DARF and CAI)
 - Single scatter albedo/absorption (DARF)
 - Concentration (CAI)
 - Requires a $3\beta+2\alpha+2\delta$ High Spectral Resolution Lidar (HSRL)
 - Aerosol backscatter at 3 wavelengths: 355, 532, 1064 nm (3β)
 - Aerosol extinction at 2 wavelengths: 355 and 532 nm (2α)
 - Aerosol depolarization at 2 wavelengths: (2δ)
 - Simpler lidar deployed with a polarimeter may be able to meet requirements, but this has yet to be verified.
- Cloud measurements require HSRL capability at 532 nm only but impact dynamic range of detectors & detection electronics
- Ocean subsurface measurements require 532 nm HSRL channel and drive detector speed and dynamic range.

HSRL technique for aerosol profiling

Ocean

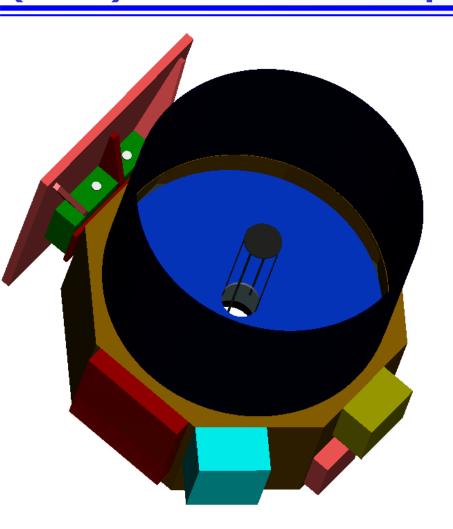




 Two equations to solve for 2 unknowns: aerosol backscatter and extinction

ACE $3\beta+2\alpha+2\delta$ High Spectral Resolution Lidar (HSRL) Instrument Concept





One of many possible realizations: Transmitter: 25 W average power

Telescope: 1.5-m diameter

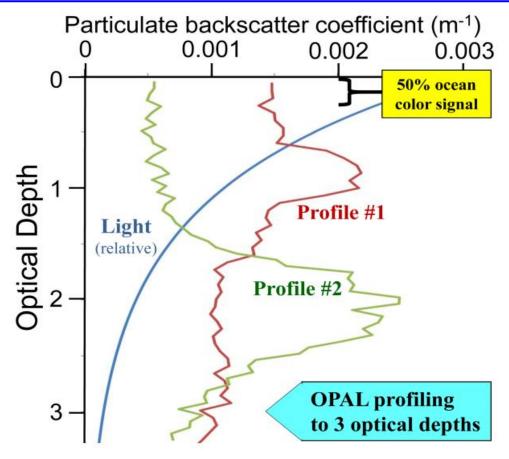
Measurements

- Backscatter at 355, 532, 1064 nm (3β)
- Extinction 355 and 532 nm (2a)
- Depolarization at 2 wavelengths (2δ)
- Ocean surface/subsurface at 532 nm (backscatter and Brillouin scatter)

Products

- Lidar-only retrieval of aerosol/cloud vertical distribution, aerosol optical and microphysical properties, and cloud optical and microphysical properties
- Lidar-only retrievals of ocean subsurface particulate backscatter
- Lidar + polarimeter retrievals of aerosol optical and microphysical parameters
- Lidar + radar cloud retrievals

OPAL: ocean profiling at 532 nm and atmospheric profiling at 532 and 1064 nm



- Objective: measure vertical structure of phytoplankton to reduce uncertainties in NPP
- OPAL measurements will penetrate 70% of the euphotic zone (i.e., depth range where light levels are sufficient for photosynthesis).



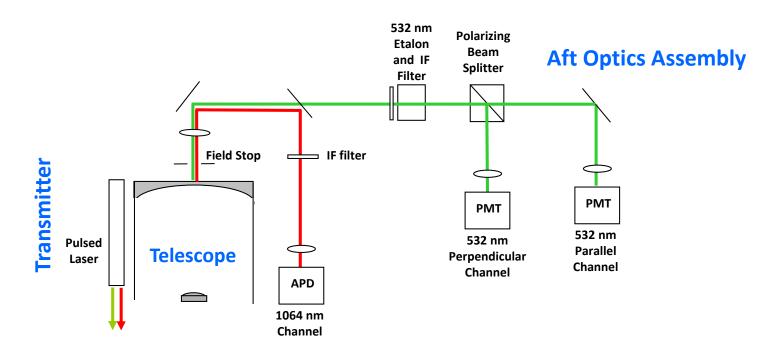


How are the ACE and OPAL lidar concepts different from CALIPSO?

CALIOP on CALIPSO: $2\beta+1\delta$



- Robust design
- 8 years on-orbit operations!



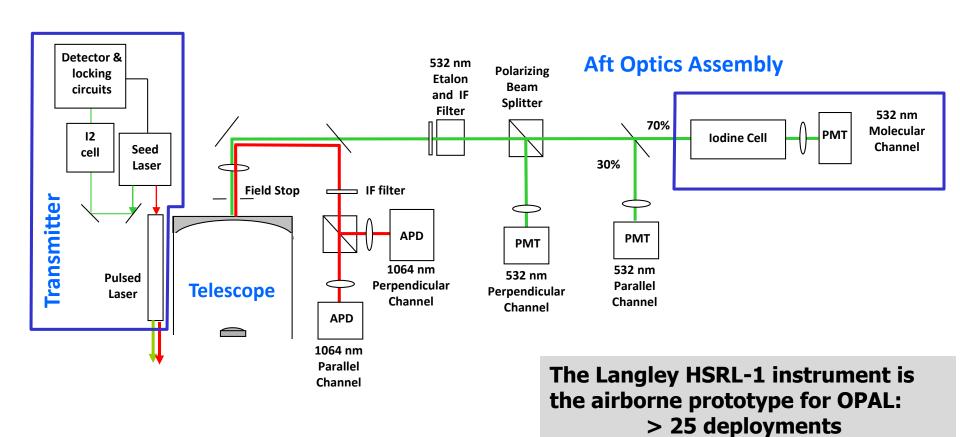
OPAL Concept:





Additions:

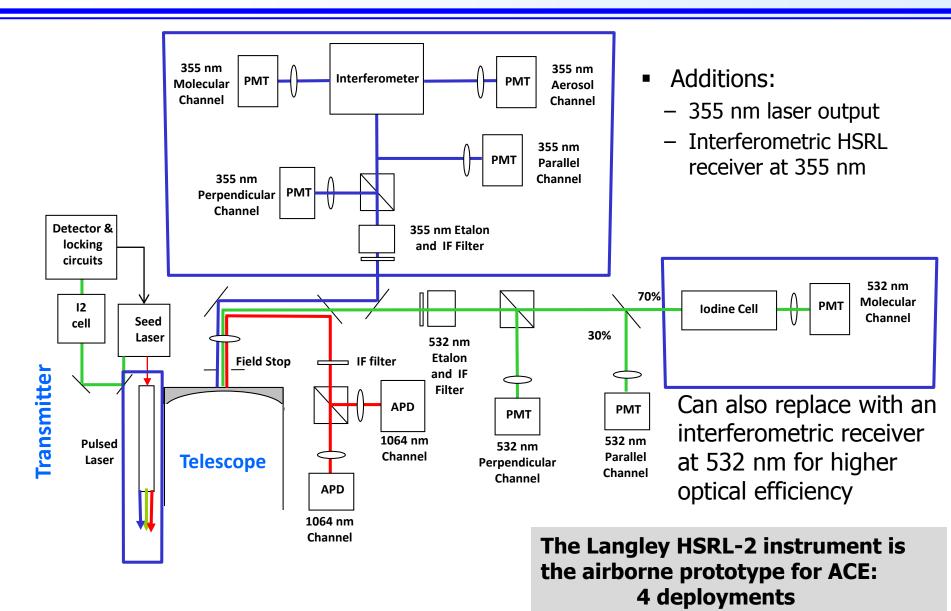
- Single-frequency laser with seed laser and frequency locking
- Iodine cell and extra detector in receiver



>350 flights

ACE Concept: a $3\beta+2\alpha+3\delta$ HSRL





> 50 flights

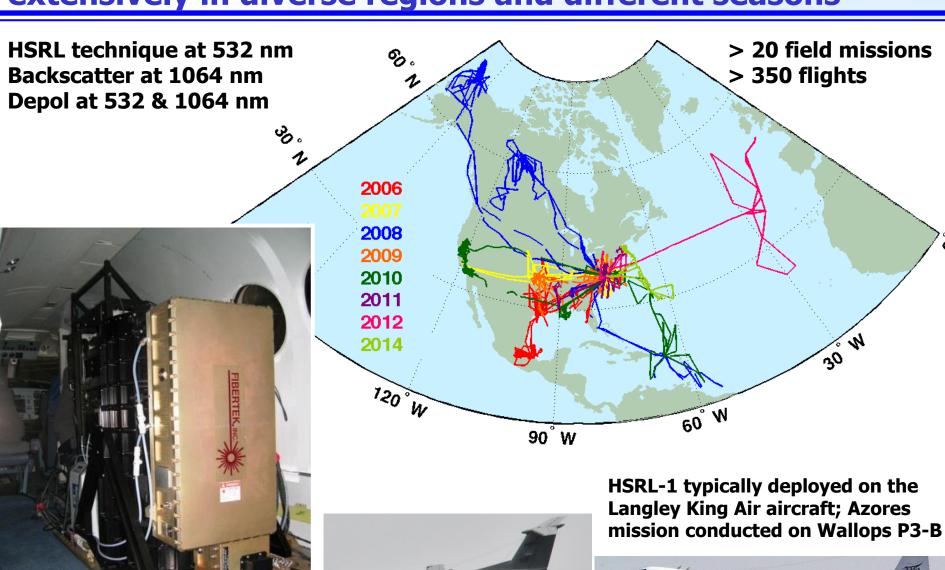


Are airborne prototypes showing that we can make these measurements?

The NASA Langley HSRL-1 instrument has flown extensively in diverse regions and different seasons

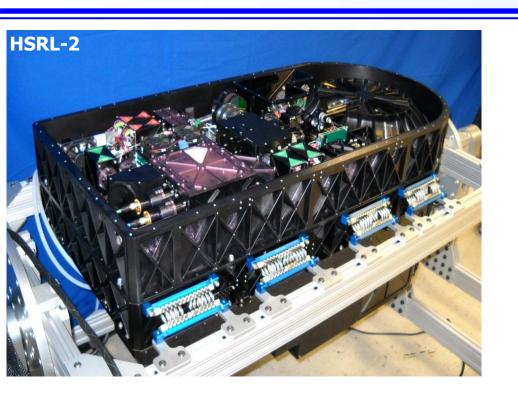


N426NA



HSRL-2: the ACE $3\beta+2a+3\delta$ Lidar Simulator





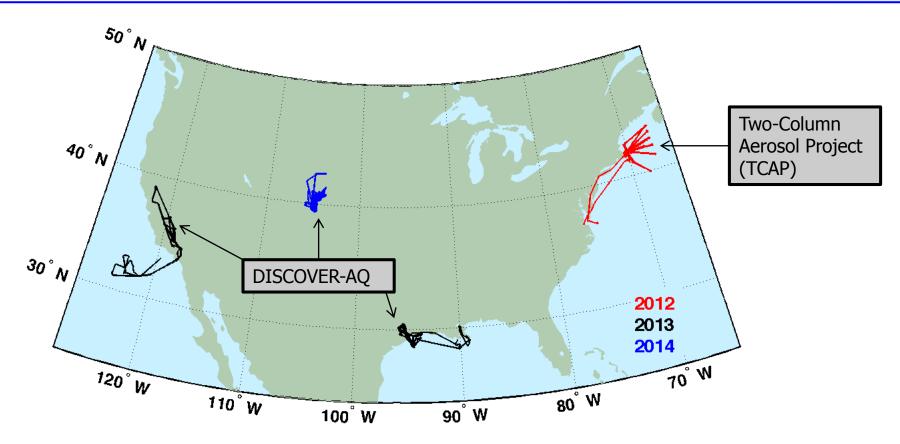




- Built for deployment on ER-2 and other aircraft
 - Not flown on ER-2. First flights on ER-2 in 2015
- Meets all ACE atmospheric measurement requirements
- Flown on 4 field missions
- Operational data processing code has been demonstrated on field data

HSRL-2 has been deployed on 4 major field missions

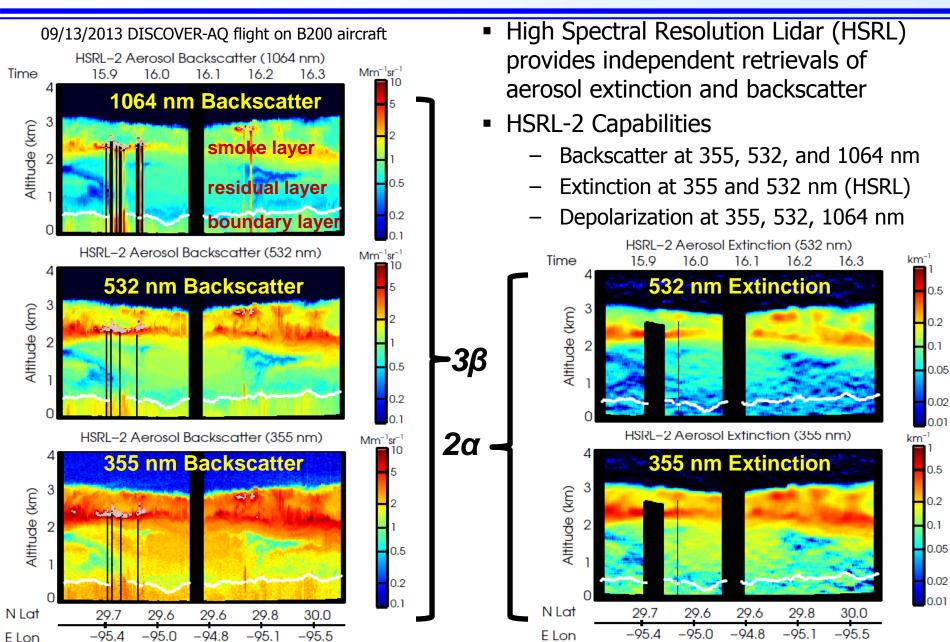




- Missions all involved a second aircraft making in situ aerosol measurements and ground stations with remote sensing and in situ instrumentation
- Extensive corroborative data enables validation of lidar aerosol retrievals (e.g., extinction, microphysical parameters, type)
- Validation comparisons are ongoing

" $3\beta+2\alpha+3\delta$ " HSRL lidar measurement capability identified for ACE DARF, CAI, and ocean-aerosol objectives

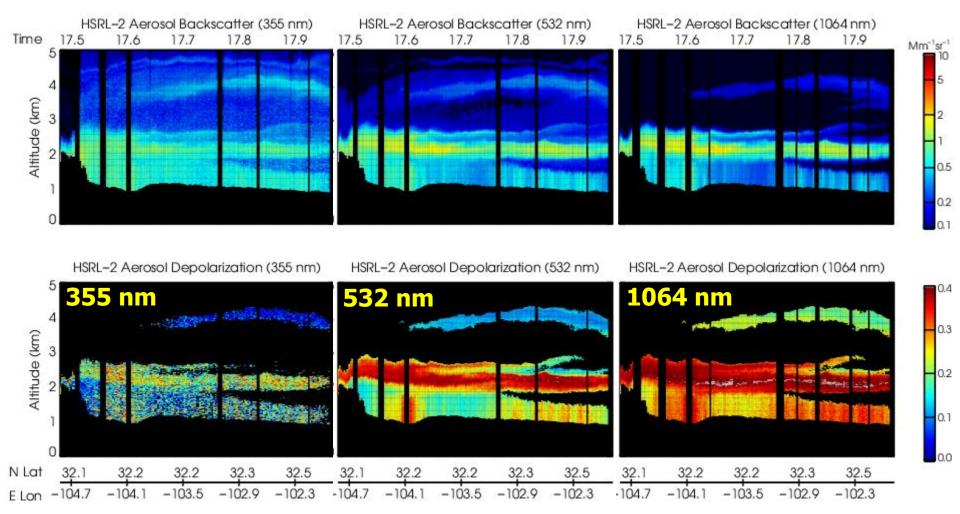




Aerosol depolarization example from HSRL-2



Data acquired on return transit from DISCOVER-AQ/PODEX



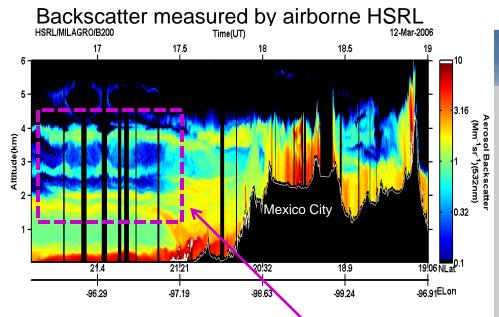
Depolarization required at only 2 wavelengths for ACE. The choice of those wavelengths is under study.

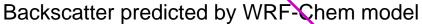


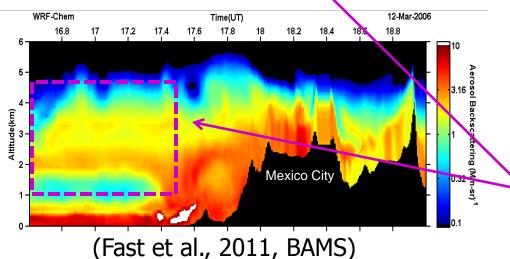
Aerosol Retrievals

Airborne HSRL-1 Measurements Used to Evaluate WRF-Chem Model

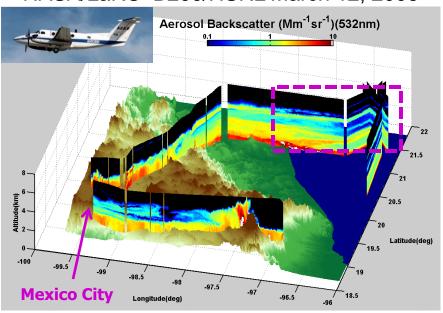








NASA/LaRC B200/HSRL March 12, 2006

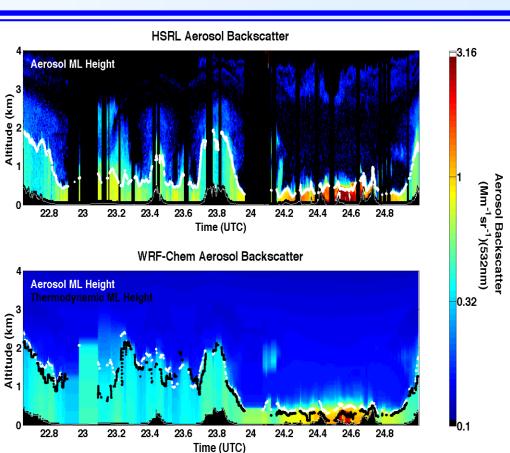


- Airborne HSRL data:
 - reveal complexity of mixing and transport of particulates
 - used to indirectly evaluate meteorological predictions
- Model can reproduce most aspects of PBL in vicinity of Mexico City
 - Model requires smaller vertical grid spacing to resolve shallow layering observed by lidar

Mixed Layer Heights



- Mixed Layer (ML) heights derived from daytime-only cloud-screened aerosol backscatter profiles measured by HSRL
- Automated technique uses a Haar wavelet covariance transform with multiple wavelet dilations to identify sharp gradients in aerosol backscatter at the top of the ML (adapted from Brooks, JAOT, 2003)
- HSRL ML heights combine results from automated algorithm and manual inspection of HSRL backscatter profiles
- ML heights computed for 15 science campaigns (212 flights) since 2006
- HSRL ML heights have been used to validate models, e.g. WRF-Chem



Comparison of mixed layer heights from airborne HSRL, ground-based measurements, and the WRF-Chem model during CalNex and CARES, Scarino et al. Atmos. Chem. & Phys., 2014

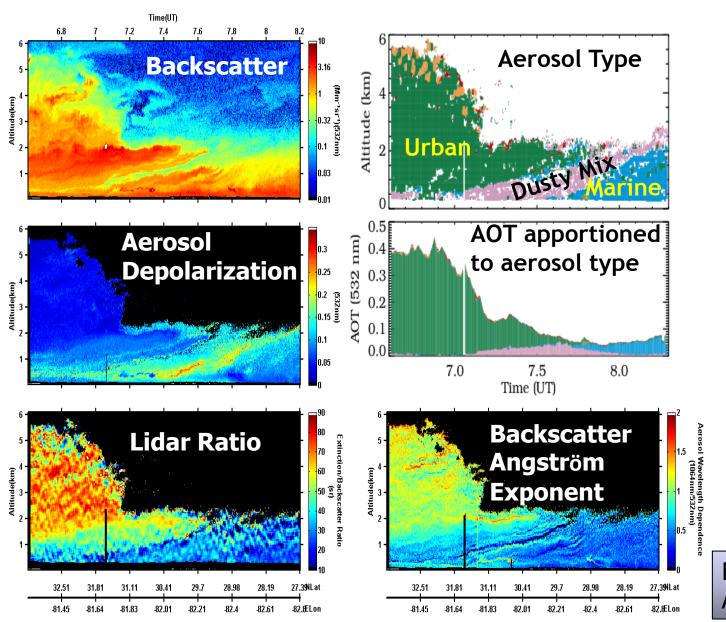
Aerosol Typing and Mixing Analyses

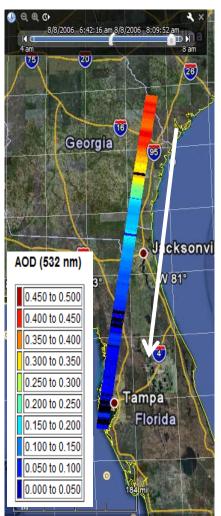


- HSRL lidars provide accurate "intensive" observables from which aerosol type can be inferred
 - Intensive observable: depends only on aerosol type and <u>NOT</u> on amount
 - Extinction-to-backscatter ratio
 - Backscatter color ratio at two pairs of wavelengths
 - Depolarization ratio
 - Spectral depolarization ratio (ratio of depolarization at 2 wavelengths)
 - Extinction angstrom exponent (355 and 532 nm)
- Aerosol typing using HSRL-1 data $(2\beta + 1a + 2\delta, 532$ and 1064 nm):
 - Identifying aerosol type and partitioning AOD by type.
 - Results useful for assessing/improving chemical transport models
- Recently, analysis extended to quantifying mixing ratio of aerosol types

HSRL capability plus polarization sensitivity enable aerosol typing and partitioning aerosol optical depth by type







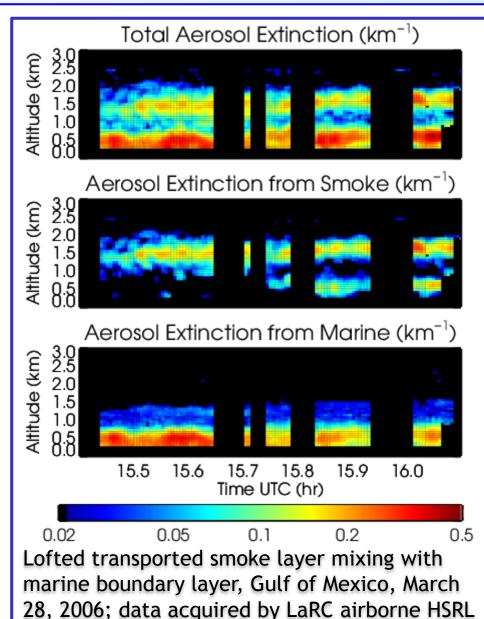
Burton et al., 2012, Atmos. Meas. Tech.

Aerosol mixing: quantifying separate contributions from pure aerosol types



- Heritage typing scheme identifies 7 types which include mixtures of pure aerosol types: dust, dusty mixture, marine, polluted marine, urban, smoke, and fresh smoke.
- New analysis computes mixing ratios of pure types in the same volume.
- Models often agree with satellite on optical depth but disagree on aerosol type. New scheme provides significant advance in quantitative evaluation and improvement of transport models.

Separating mixtures of aerosol types using airborne HSRL, Burton et al. Atmos Meas Tech, 2014



Aerosol Microphysical Retrievals

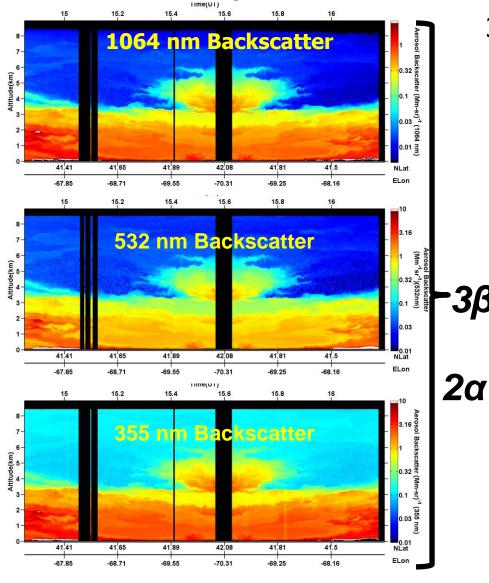


- Vertically resolved retrievals of
 - Effective radius
 - Real and imaginary index of refraction
 - Single scatter albedo
 - Concentration
- Microphysical parameters provide
 - Alternate proxy for aerosol composition (vs type)
 - Separation of scattering and absorption
 - Potential proxy for CCN
- Requires the $3\beta+2a$ retrieval technique originally developed by Detlef Müller but implemented now by several groups including some EARLINET sites.

Airborne multi-wavelength " $3\beta+2\alpha$ " HSRL measurements from the TCAP field campaign

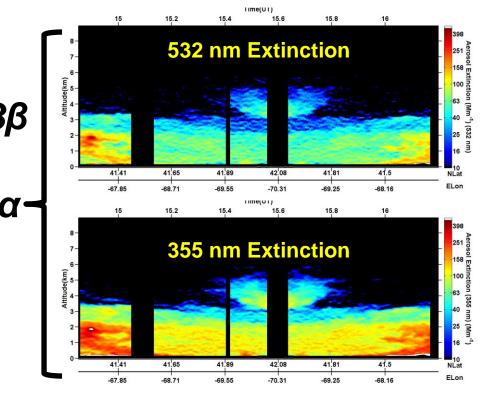






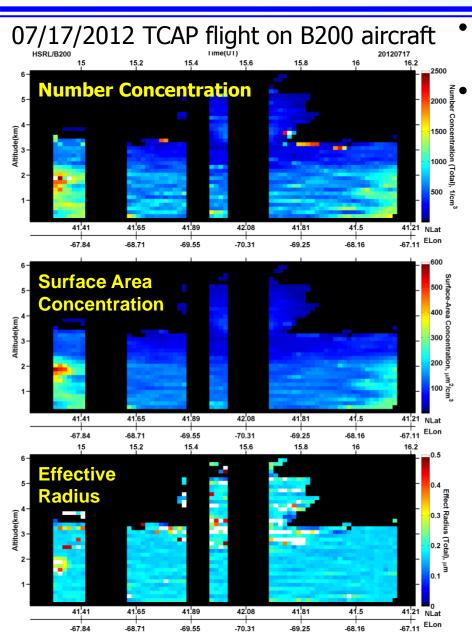
3β+2a inputs to microphysical retrievals

- Backscatter at 355, 532, and 1064 nm
- Extinction at 355 and 532 nm (HSRL)
- Depolarization at 355, 532, 1064 nm

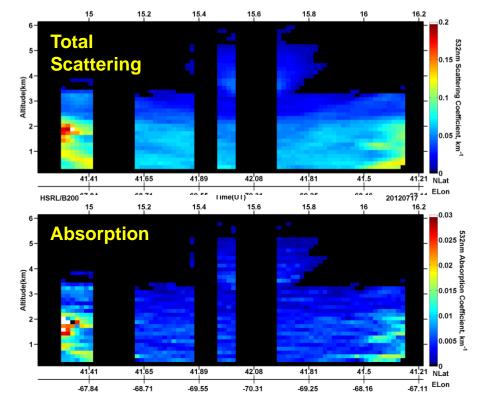


"3\beta+2a" microphysical retrievals from TCAP



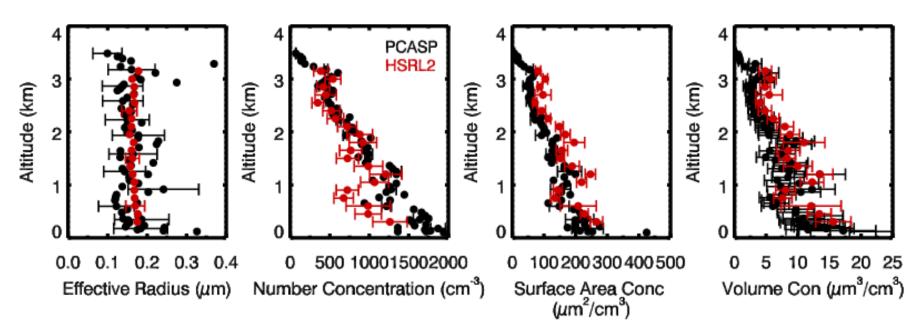


- Inversion with Regularization (Müller et al. 1999, Veselovskii et al 2002)
- Produces horizontally and vertically resolved curtains of microphysics including:
- Effective radius Complex index of refraction Scattering coefficient Absorption coefficient Single scatter albedo Number, Surface and Volume Concentration



Microphyscial Retrievals from TCAP





 Lidar microphysical retrievals of effective radius and concentration compare favorably to in situ measurements made during spirals of the DOE G-1 aircraft during the TCAP mission off Cape Cod, 2012

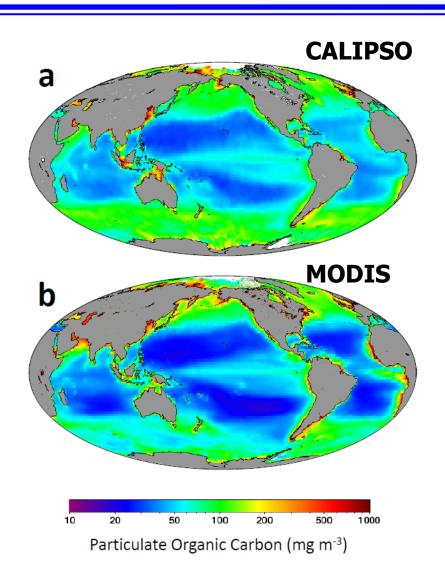
Airborne multiwavelength High Spectral Resolution Lidar (HSRL-2) observations during TCAP 2012: vertical profiles of optical and microphysical properties of a smoke/urban haze plume over the northeastern coast of the US D. Müller, C. A. Hostetler, R. A. Ferrare, S. P. Burton, etc., accepted Atmos. Meas. Tech. Discuss., 7, 1059-1073, 2014



Ocean Retrievals

Building on successes with CALIPSO





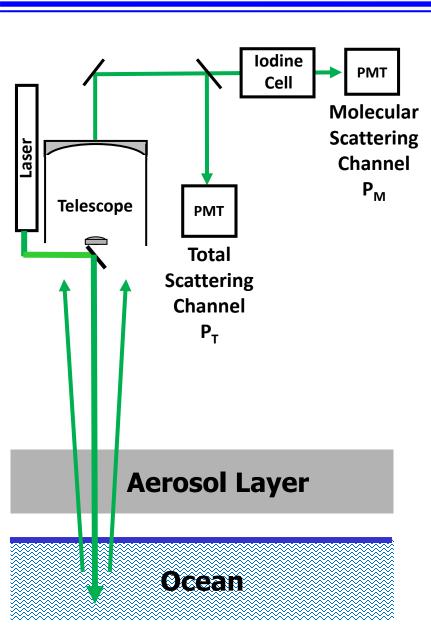
- Particulate Organic Carbon (POC) and plankton backscatter (b_{bp}) retrieved from CALIPSO spaceborne lidar compared favorably to MODIS product
- CALIPSO retrievals use employ vertically-integrated subsurface data, i.e., not vertically resolved

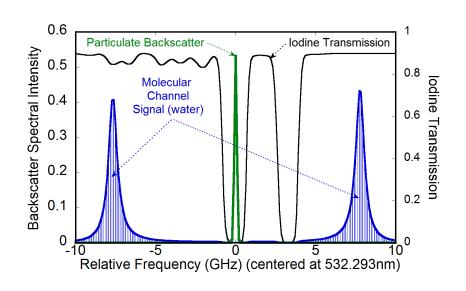
From: Behrenfeld et al., Space-based lidar measurements of global ocean carbon stocks, GRL, 2013

Data in each panel are climatological annual averages for the 2006 to 2012 period. Data are binned to 2° latitude by 2° longitude pixels.

HSRL technique for ocean profiling



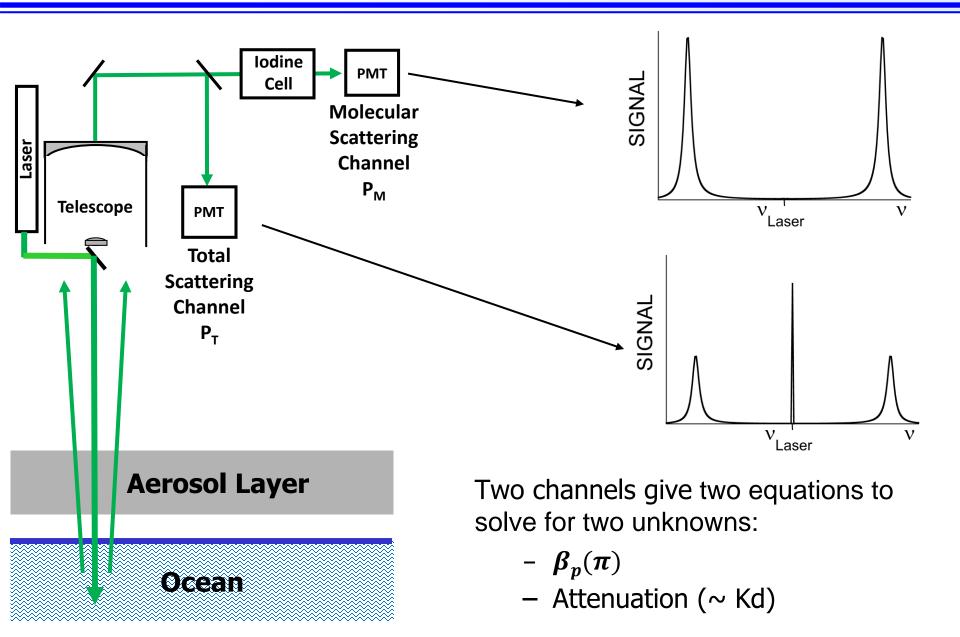




- Laser tuned to I2 absorption line
- Particulate backscatter blocked from "Molecular Channel"

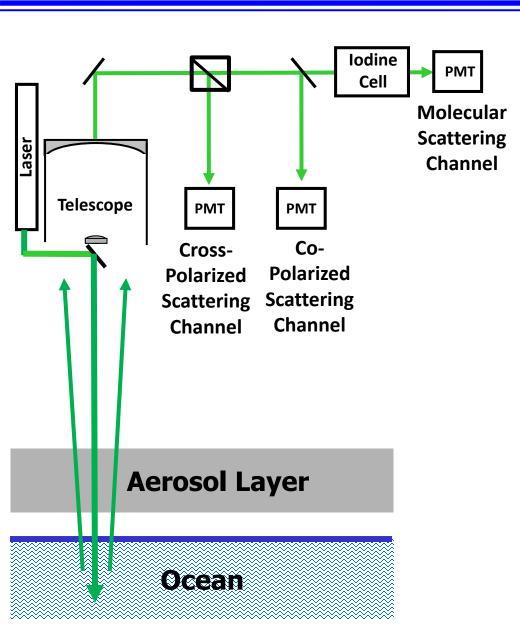
HSRL technique for ocean profiling





Ocean HSRL data products





- b_{bp}
 - $\beta_p(\pi)$ determined from ratio of total backscatter to Brillouin backscatter
 - $\beta_p(\pi)$ scaled to bbp using assumption on phase function
- K_d (lidar attenuation)
 - Determined from derivative of molecular backscatter signal
- \blacksquare S_p
 - Lidar ratio: ratio of lidar attenuation to 180° backscatter = $Kd/\beta_{p}(\pi)$
- δ_p
 - Particulate depolarization determined from the ratio $\beta_p(\pi)$ of the cross-polarized to co-polarized channels



Ship and Atmospheric Bio-optics Research (SABOR) Mission: 18 July – 6 Aug





Objective: investigate the utility of lidar and polarimetery for future satellite ocean ecosystems missions



Ship Operations

- Univ. of Rhode Island R/V Endeavor
- Continuous underway measurements and 24 over-theside stations
- Instruments:
 - 7 underway hyper/multi-spectral instruments
 - 4 over-the-side platforms with 20 hyper/multi-spectral instruments

Aircraft Operations

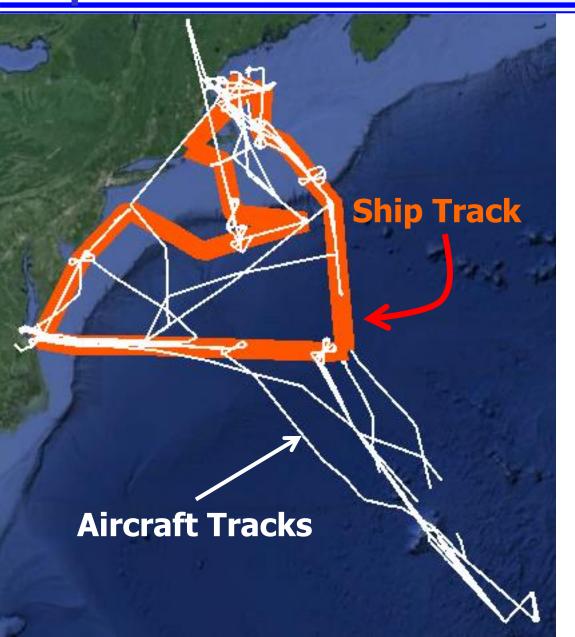
- NASA Langley UC-12 aircraft
 24 science flights mostly coordinated with ship from bases in New Hampshire, Bermuda, & NASA Langley
- Instruments:
 - HSRL-1
 - Research Scanning Polarimeter (RSP)

Support: SMD Ocean Biology and Biogeochemistry Program

Partners: Oregon St Univ., Univ. of Maine, City University of NY, Wet Labs, Naval Research Lab, Sequoia, Bigelow, and others

Most SABOR flights coordinated with data acquisition on research vessel Endeavor



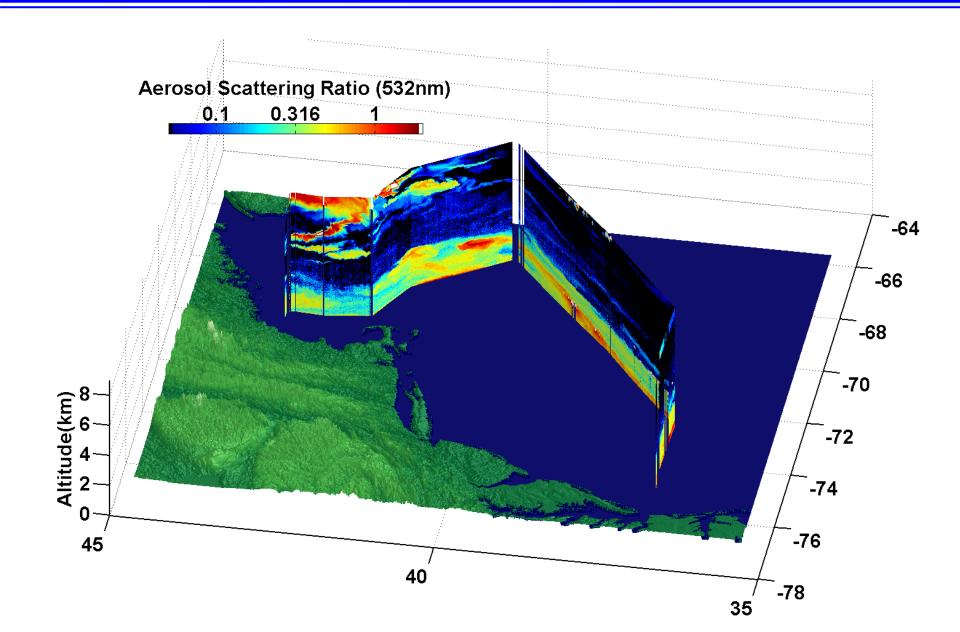


- Flights conducted largely along ship track
- Crossing patterns over the ship to acquire RSP polarimeter data at appropriate solar angles coincident with ship-borne polarimeter measurements



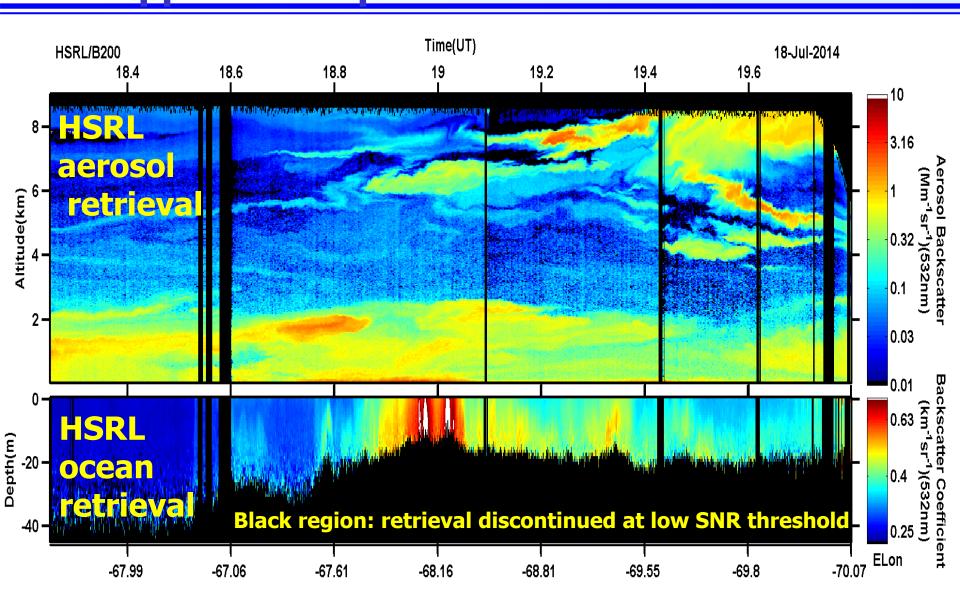
18 July transit flight





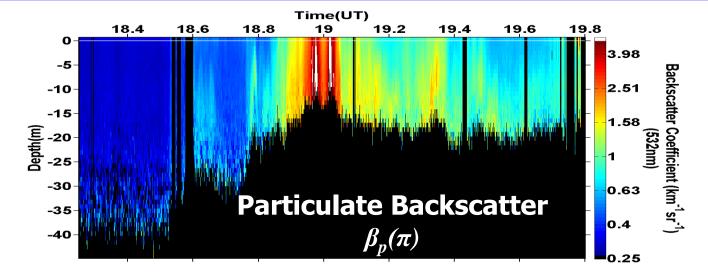
18 July flight: Unwrapped atmosphere and ocean curtains

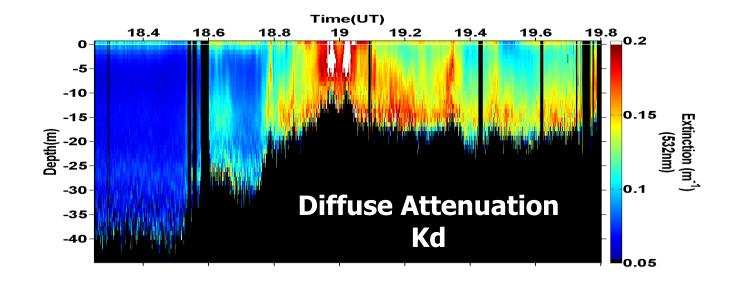




HSRL technique provides independent retrievals of particulate backscatter and attenuation

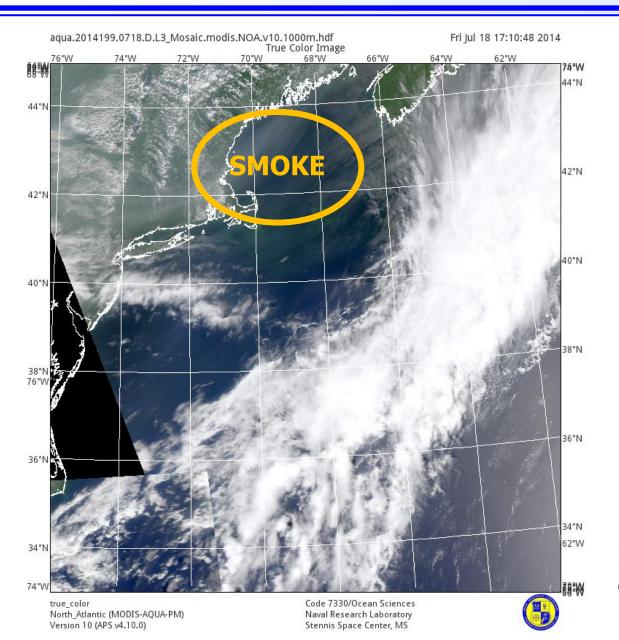






MODIS True Color Image

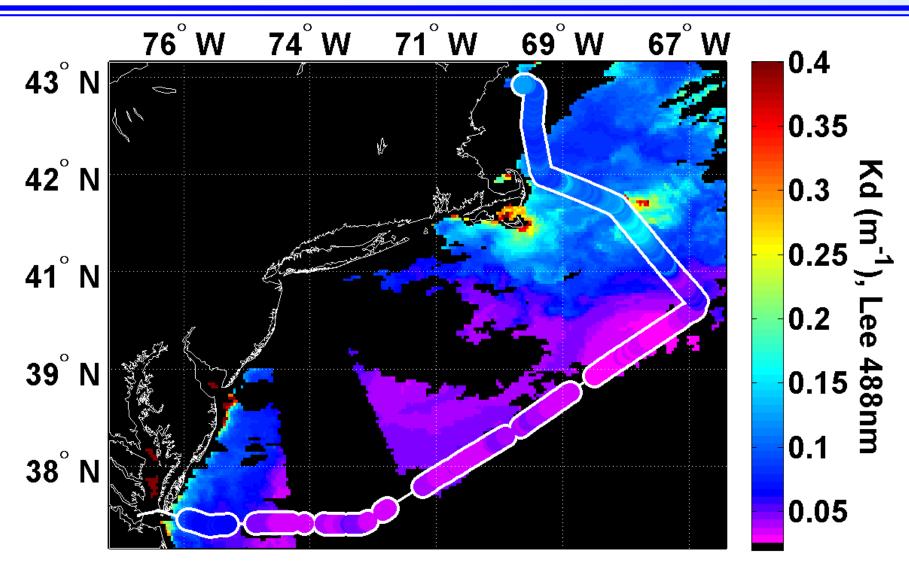




Imagery courtesy of Rick Gould

HSRL Kd overlaid on MODIS Kd488 Lee

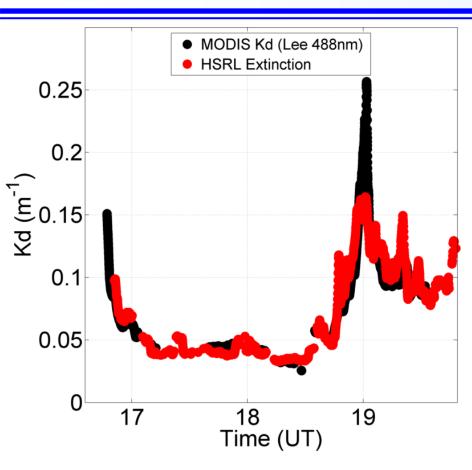




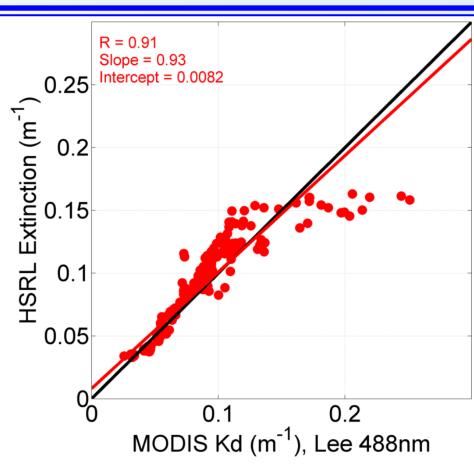
The only modification to the HSRL Kd (532 nm) was adjustment for water absorption between 488 and 532 nm. No other conversions were attempted.

Comparison of HSRL Kd with Kd488 Lee





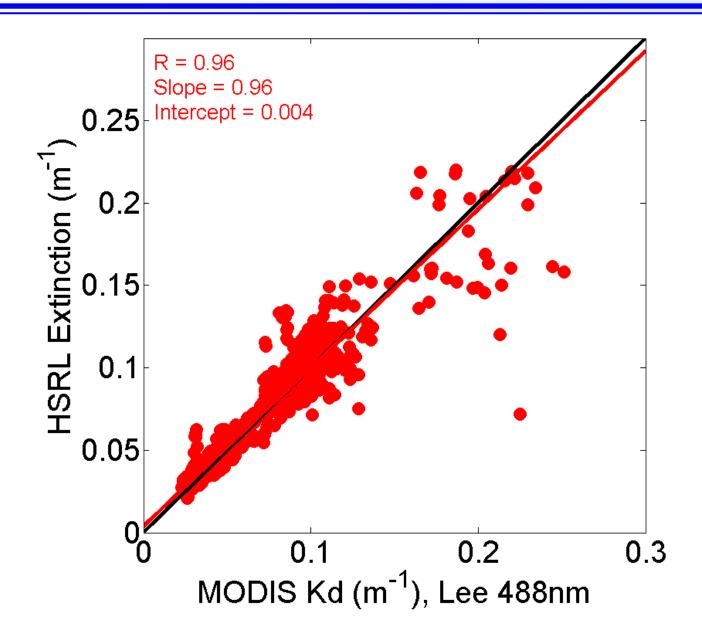
- HSRL (red) and MODIS (black)
 bbp along flight track
- Time axis is time at which lidar data were acquired.
- MODIS overpass at 17:13 UT



- High correlation
- Largest differences at peaked MODIS Kd in Georges Bank region

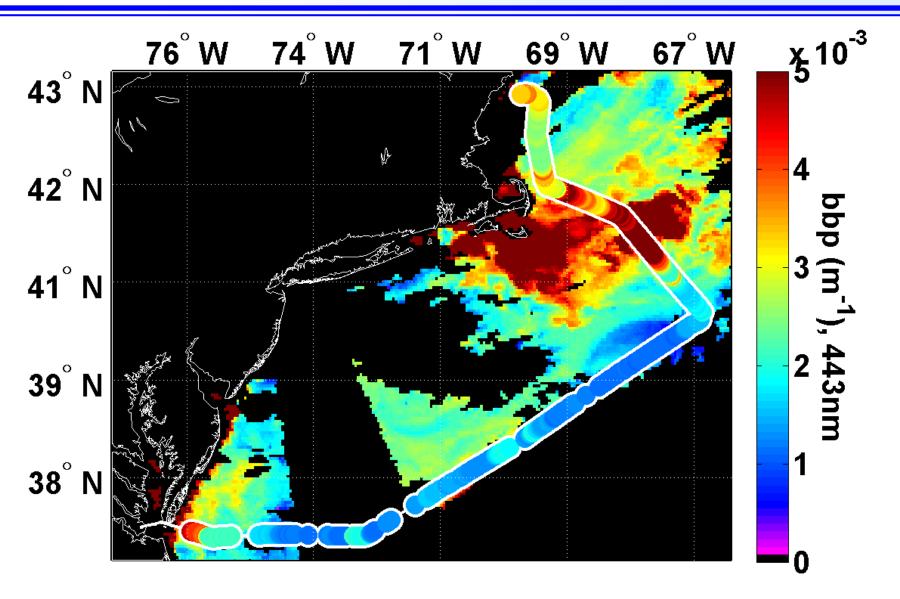
Comparison to all MODIS QAA Kd





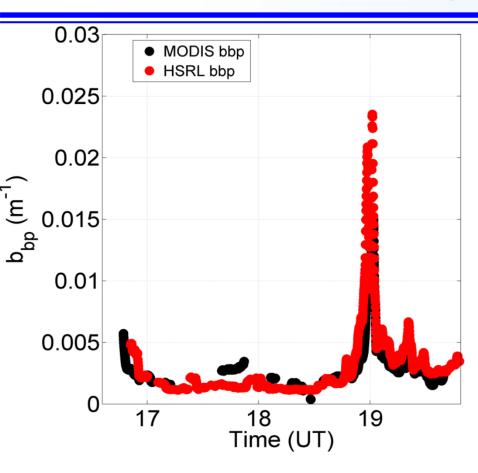
HSRL b_{bp} overlaid on MODIS b_{bp}

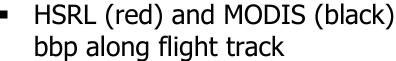




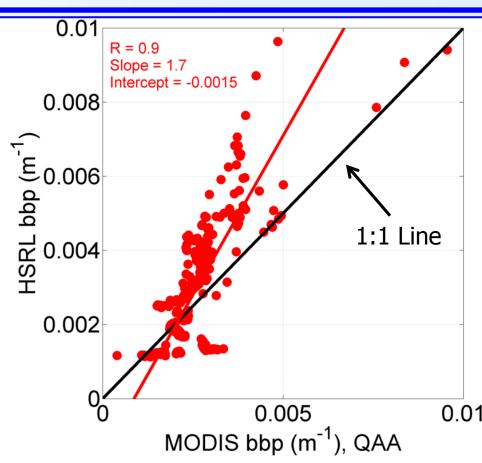
Comparison of HSRL b_{bp} with **MODIS**







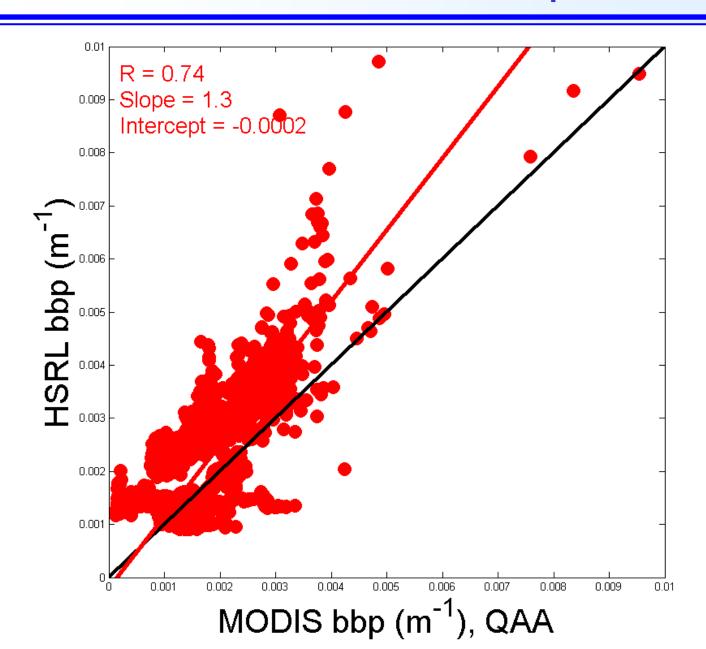
- Time axis is time at which lidar data were acquired.
- MODIS overpass at 17:13 UT



- High correlation
- Obvious scaling (1.7) and offset (0.0015 m⁻¹) differences

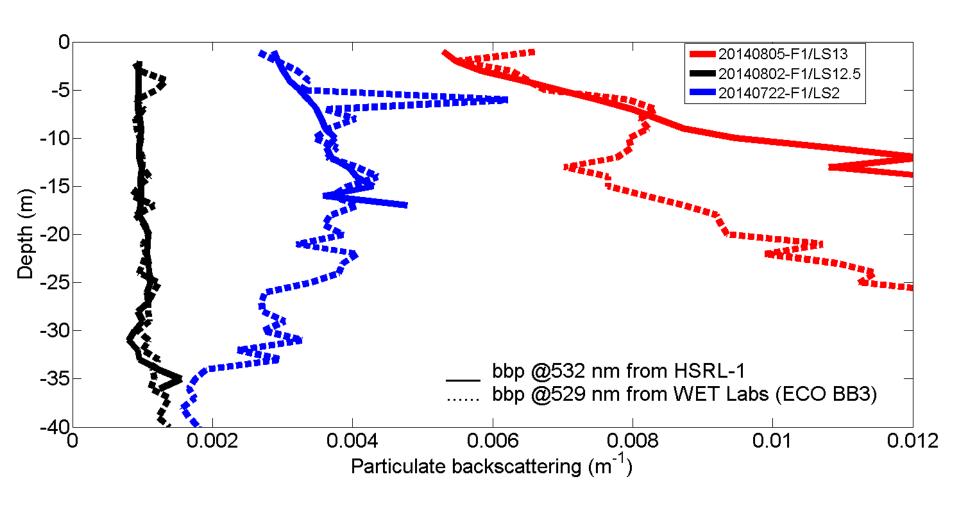
Comparison to all MODIS QAA b_{bp}





Selected b_{bp} profile comparisons showing dynamic range encountered on SABOR

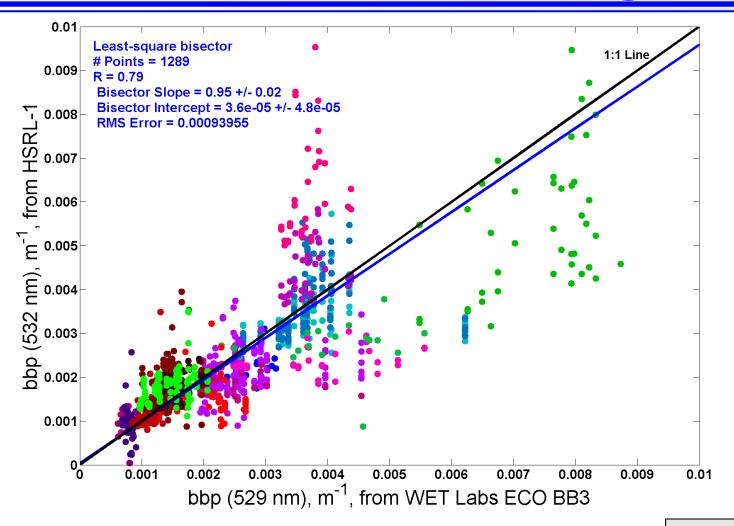




SABOR profile data courtesy of Ivona Cetinic, Nicole Stockley, and Mike Twardowski

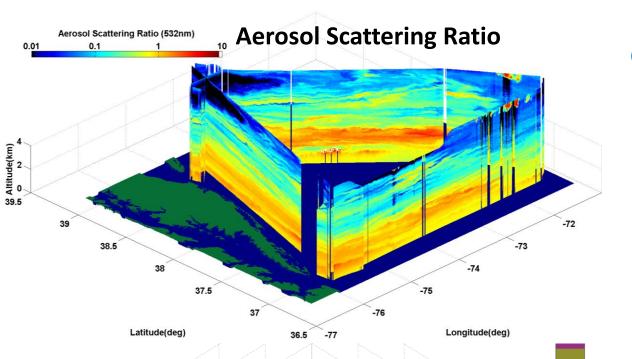
HSRL b_{bp} shows high correlation with in situ profile data from 13 SABOR castings

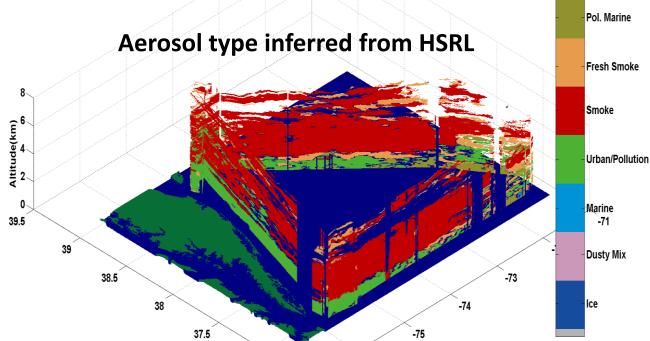




Conversion of 180° lidar backscatter,
$$\beta(\pi)$$
, to b_{bp} :
$$b_{bp} = \chi_p (2\pi) \beta(\pi) = 0.5 (2\pi) \beta(\pi)$$

SABOR profile data courtesy of Ivona Cetinic, Nicole Stockley, and Mike Twardowski





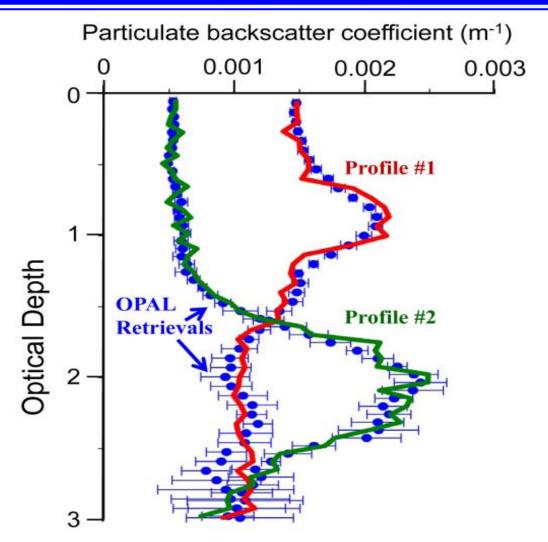
Complex aerosol environment on SABOR

- Aerosol optical depths were very high, sometime exceeding 0.5
- HSRL aerosol typing algorithm often indicated a layer of smoke over a layer of continental pollution
- Lidar observations could be useful for understanding aerosol influences on ocean color retrievals
- Joint oceanatmosphere retrievals using lidar + polarimeter/ocean color radiometers may increase accuracy in both regimes.

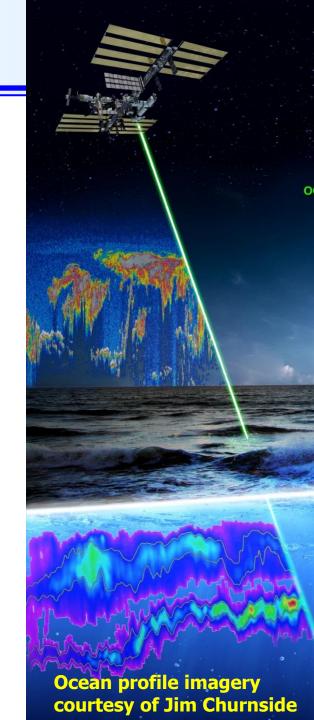


Spaceborne Ocean-Optimized HSRL

Ocean Profiling and Atmospheric Lidar (OPAL)

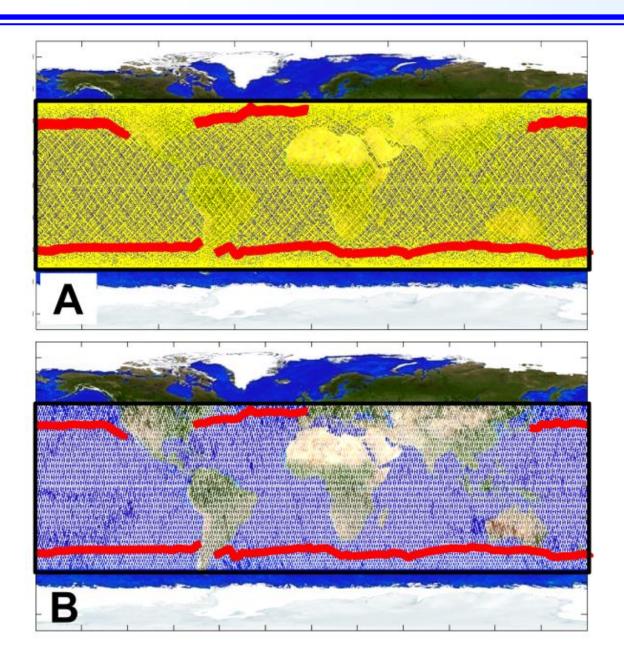


- Truth profiles in solid lines
- Simulated retrieval with 1-σ uncertainties in blue



Sampling example: OPAL on ISS





ISS orbit tracks over 1-month period

CALIPSO track
locations where
atmospheric optical
depth < 1. Shows
OPAL yield including
cloud interferences

Benefits of spaceborne ocean lidar



- Improved quantification of biomass in oceans by resolving the variability of particulate backscatter with depth
- Significantly reduced uncertainties in ocean productivity by
 - Resolving the vertical distribution of phytoplankton
 - Resolving the vertical distribution of light for photosynthesis

_

- Cross-integration between ocean biology and physical oceanography via observations of layering related to ocean physics.
- Day/night observations of chlorophyll fluorescence for assessing ecosystem health (e.g., iron stress)

Benefits of spaceborne ocean lidar



 Much more direct retrievals of bbp and Kd than passive ocean color instruments: would be used to assess and improve passive retrievals across entire swath of PACE or

- Provides measurements in scenes difficult for passive ocean color sensors
 - Broken cloud systems (cloud shadows, cloud 3-D effects)
 - Under tenuous cirrus and high aerosol loading
- Provides measurements at high latitudes inaccessible to passive techniques.

Summary



- Aerosol and ocean measurement objectives drive the design of next-generation spaceborne lidars
- Airborne prototype instruments have begun demonstrating both measurements and advanced retrievals
 - Retrievals will improve in combination with passive sensor data, e.g., polarimeter
- Ocean lidar is feasible from satellite and would be a transformative measurement for ocean ecosystem studies



Thanks for your attention and to all that contributed to this presentation



Backup Charts



Cloud Retrievals

CALIPSO CALIOP cloud products enhanced via HSRL technique



Production

- 1. Cloud occurrence
- 2. Cloud height
- 3. Ice/water phase

Enhanced by HSRL Measurements

- ✓ 4. Extinction profile and optical depth
 - Two retrievals: constrained by two-way transmittance or using modeled lidar ratio
- ✓ 5. Ice water content and path

Experimental



1. cloud droplet nuclei concentration



2. cloud-top extinction (water clouds)

Lidar-based cloud microphysics retrievals in hazy skies

HSRL-measured depolarization (δ) $\delta = f(\alpha, R_e)$

α: HSRL-measured extinction

R_e: effective radius retrieved from passive sensor data

$$LWC = f(\alpha, R_e)$$

 $CDNC = f(\alpha, R_e, variance(R_e))$

measured; retrieved; assumed



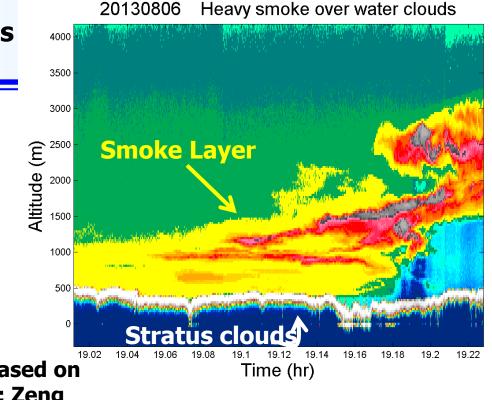
Time (hr)

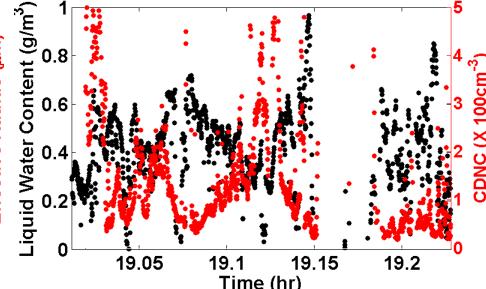
19.15

19.2

19.1

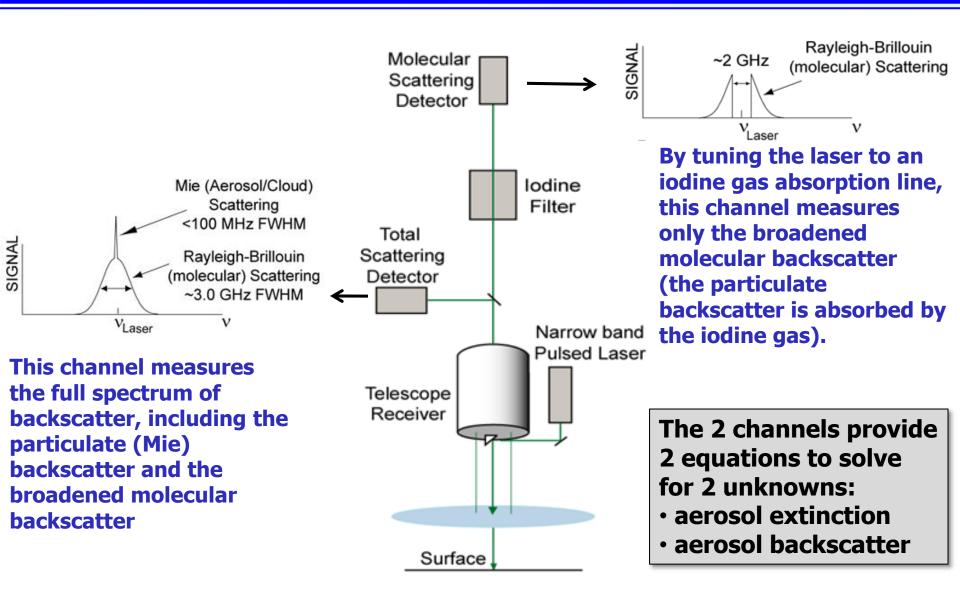
19.05





High Spectral Resolution Lidar (HSRL)



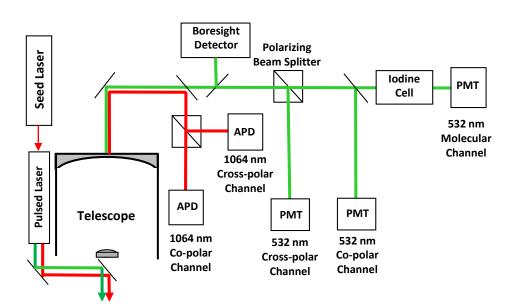


The "iodine technique" applies to 532 nm; interferometric approaches can be used at any wavelength.

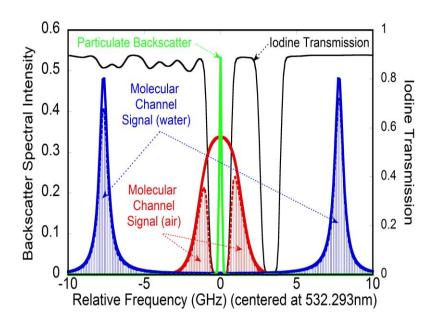
Advantages of HSRL technique for atmospheric profiling also apply to ocean



Airborne HSRL-1 Block Diagram



Spectra of transmitted and received light



- Molecular backscatter from water molecules is dominated by Brillouin backscatter, which is shifted by ~ 8 GHz
- HSRL technique allows independent estimate of Brillouin and total backscatter
- Enables retrieval of attenuation and particulate backscatter



Ship-Aircraft Bio-Optical Research (SABOR) mission

The SABOR mission ended 6 August. Shown in next few charts are field-processed data products: calibration and retrieval assessment is ongoing.

SABOR builds on experience gained on Azores 2012 mission



0.1

0.095

0.09

0.085

0.08

0.07

0.065

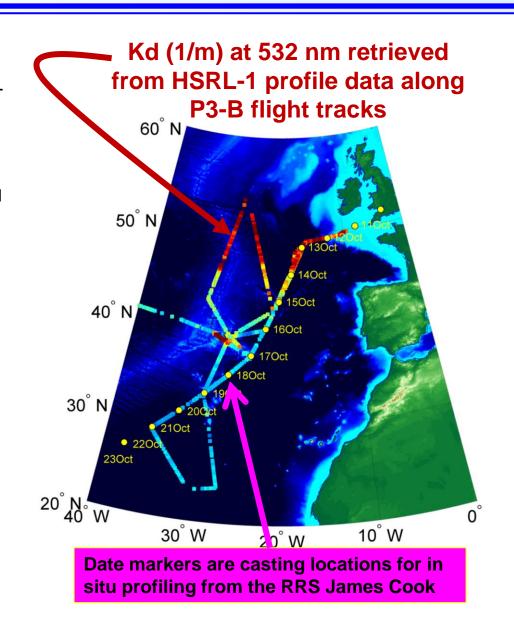
0.06

0.055

0.05

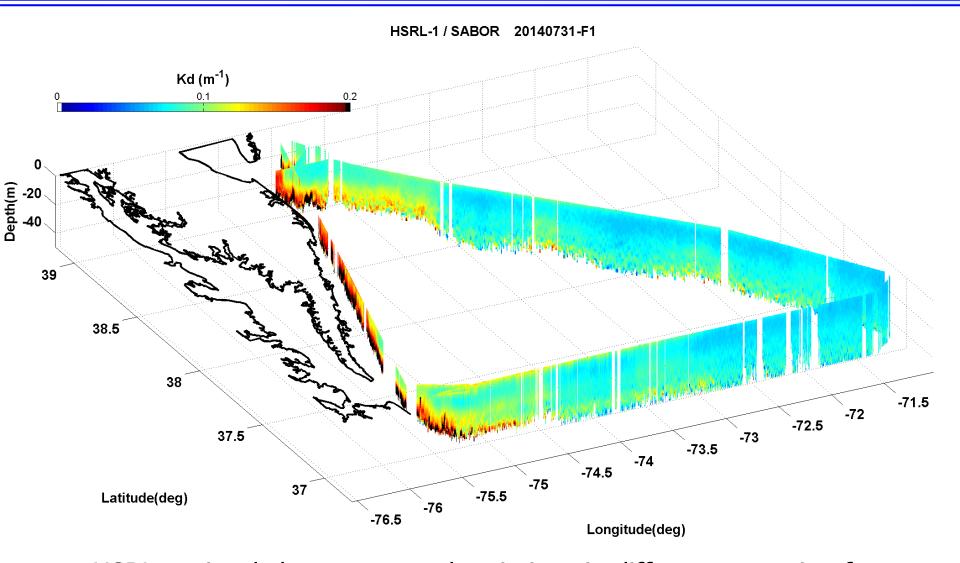
0.075 중

- Azores 2012 mission was first-ever application of HSRL technique for ocean profiling
 - Advantages of HSRL technique for atmospheric retrievals also apply to ocean profiling
 - HSRL-1 modified for 1-m vertical sampling under AITT program
 - Measured profiles to >50 m depth
- HSRL-1 ocean retrievals:
 - Kd (1/m): diffuse attenuation (shown)
 - b_{bp} (1/m): hemispheric backscatter from phytoplankton (in progress)
- RSP ocean retrievals
 - a_{CDOM} (1/m): absorption by colored dissolved organic matter
 - Surrogate for ocean color measurements to tie HSRL-1 retrievals to long-term ocean color record

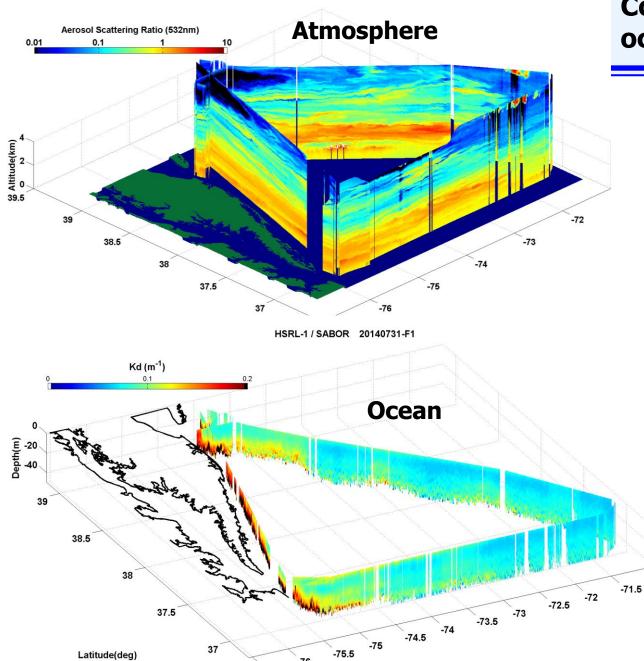


3-D Rendering of K_d profiles from one flight





 HSRL retrieval shows expected variations in diffuse attenuation from coastal to deep ocean



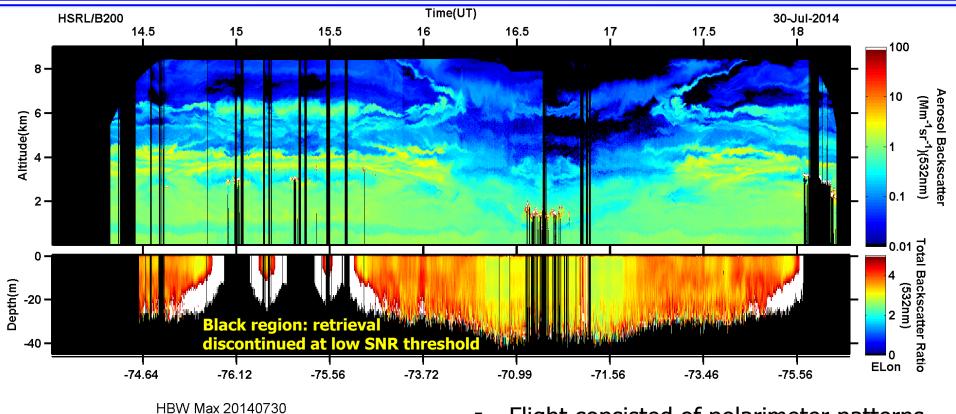
Longitude(deg)

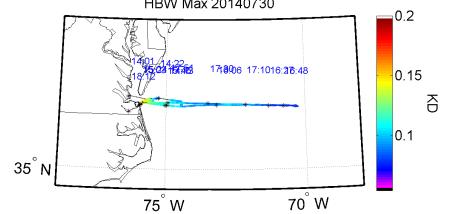
Coincident atmosphere ocean products

- Ocean-modified HSRL-1 and RSP polarimeter provide coincident atmospheric and ocean products
- Shown are lidar curtains for atmosphere and ocean on 31 July
 - Significant aerosol loading on many of these flights could prove useful for understanding aerosol influences on passive ocean color retrievals

Unwrapped lidar curtain showing coincident atmospheric aerosol and ocean particulate backscatter



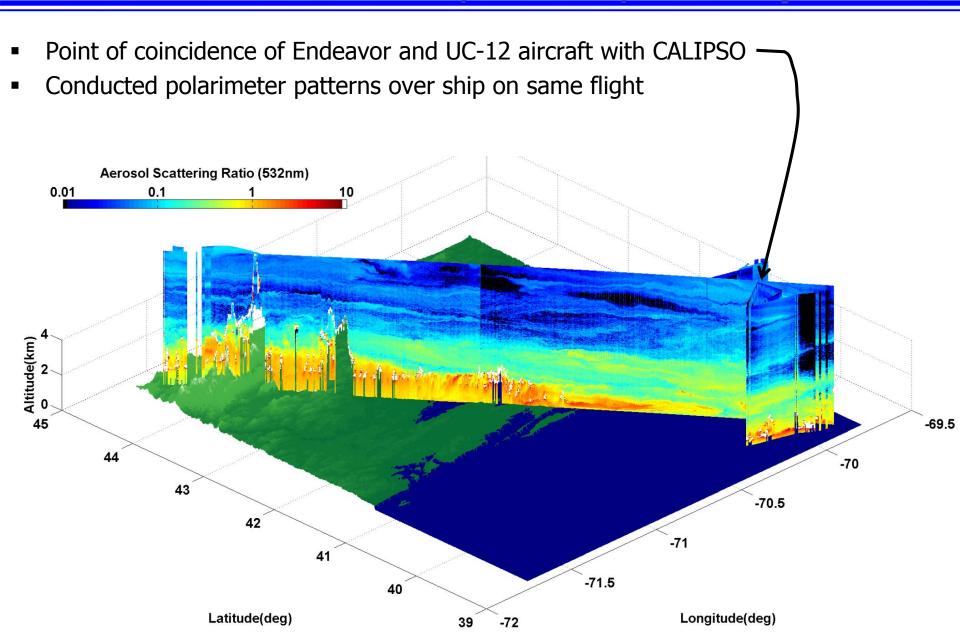




- Flight consisted of polarimeter patterns over Endeavor at mouth of Chesapeake Bay and survey east to deep water
- Data from Endeavor along entire track will improve conversions of lidar backscatter to hemispheric backscatter product (b_{bp}) used by ocean community

Trifecta: CALIPSO validation flight with coincidence of CALIPSO, aircraft, and ship





New Frontier in Ocean Remote Sensing



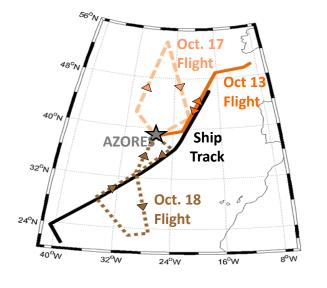
- The SABOR mission was the most extensive application to-date of the HSRL technique to ocean profiling
- HSRL technique is required to independently and accurately measure
 - Profiling of attenuation (K_d)
 - Profiling of particulate backscatter (b_{bp})
- Ocean profiling HSRL data from ACE promises to provide independent and more accurate estimates of Net Primary Productivity (NPP): the rate at which phytoplankton sequester CO₂ in the ocean. Ocean NPP is a large fraction of the global CO₂ budget and is currently highly uncertain due to a lack of information on the vertical profile of phytoplankton.
- Ship-based measurements from SABOR will enable team to validate and improve ocean lidar algorithms envisioned for ACE
- ACE, GOLD, IRAD, CALIPSO funding leveraged to enable
 - Optimization of lidar hardware (75% rebuild): install new SBIR laser, install new advanced detectors, develop new instrument controller and software, etc.
 - Execute more than 4 times the number of flights funded in by NASA SMD OBB

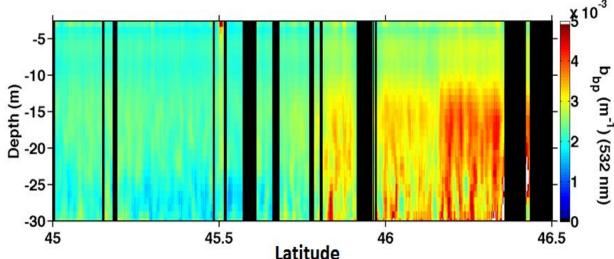
Ocean Profiling



- Ocean profiling has been demonstrated with the airborne HSRL-1 instrument
- HSRL-1 was modified under the AITT program for high vertical resolution (1-m) ocean subsurface profiling at 532 nm
- First airborne mission conducted Oct 2012 on NASA P3-B

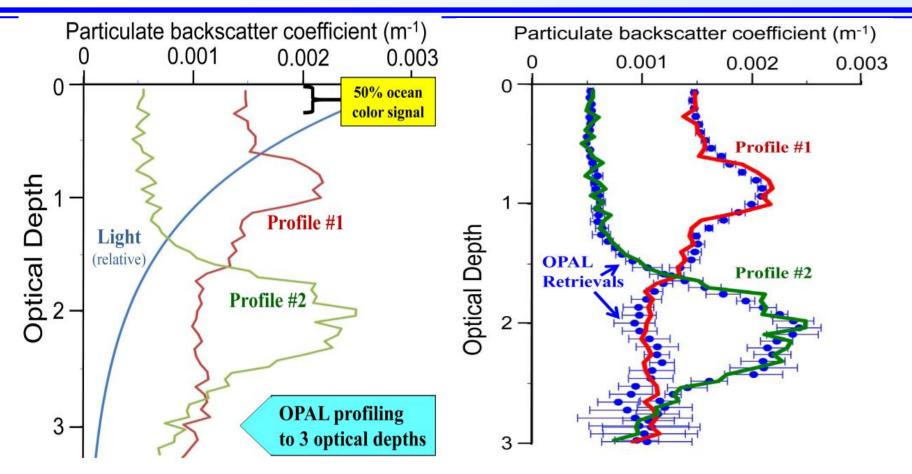






Simulation Retrievals from OPAL

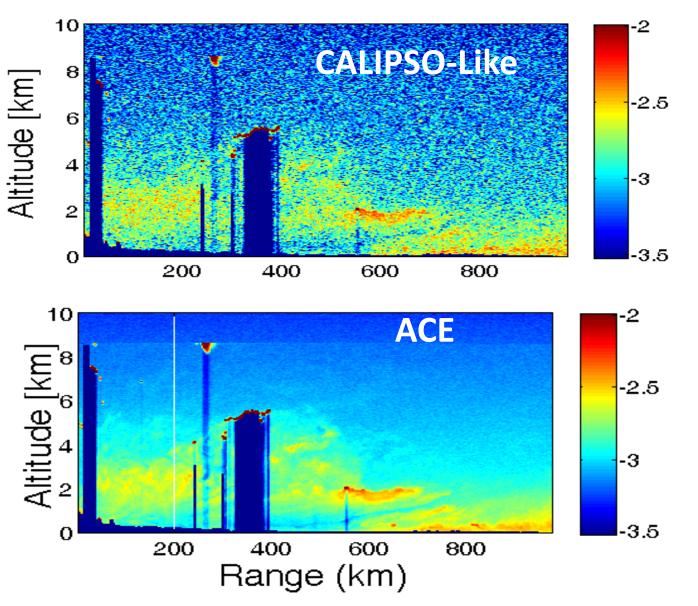




- Measure vertical structure of phytoplankton to reduce uncertainties in NPP
- OPAL measurements will penetrate 70% of the euphotic zone (i.e., depth range where light levels are sufficient for photosynthesis).

Comparison with CALIPSO





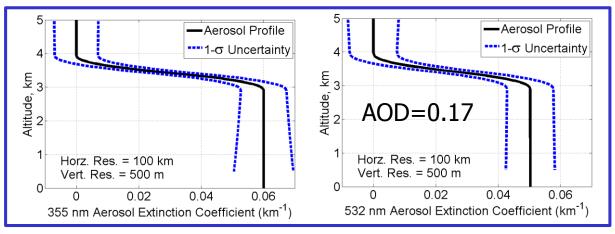
Simulated
CALIPSO and
ACE attenuated
backscatter
signal.

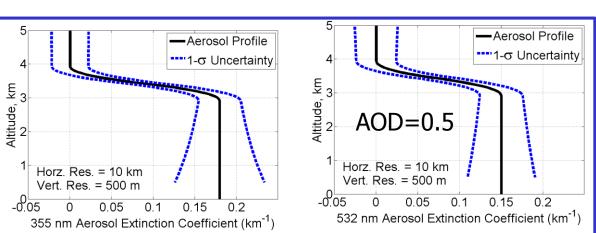
ACE achieves much higher SNR.

Input to simulator was an HSRL scene acquired at higher SNR.

Estimated random error in aerosol extinction





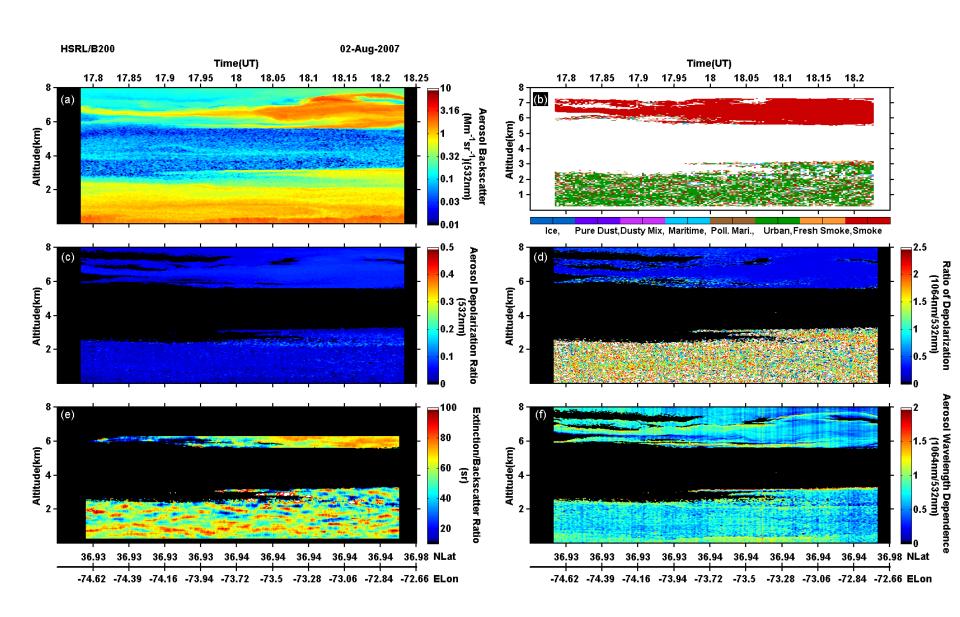


- Random error in extinction drives laser power, telescope size, etc.
- Shown are two daytime test cases identified in White Paper.
- 15% uncertainties achieved near top of layer in both cases
- More averaging required to achieve 15% threshold required as overlying optical depth increases.

 Averaging resolutions and thresholds requirements were somewhat subjective and should be reviewed/refined.

Smoke over urban pollution



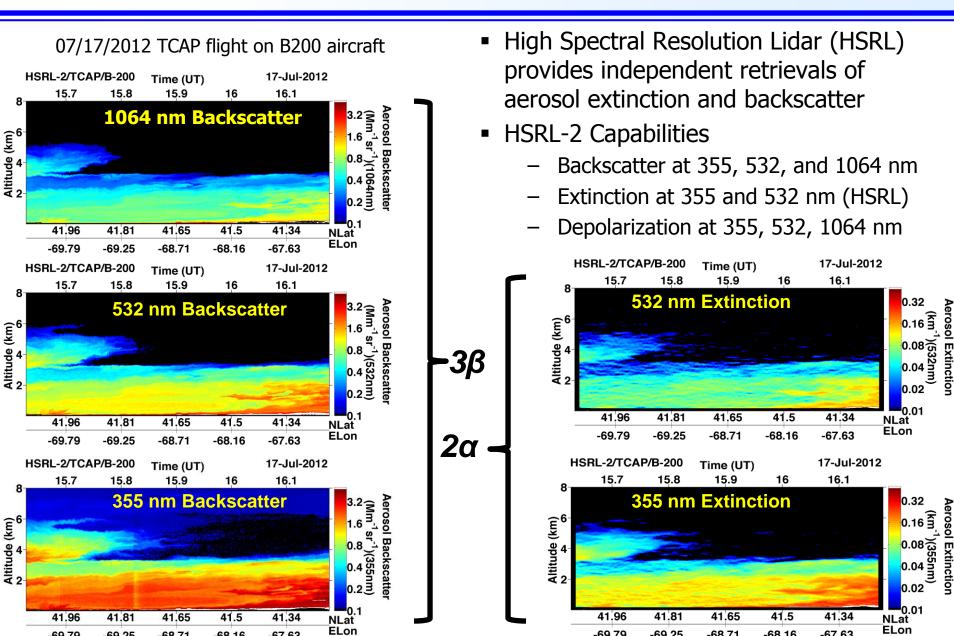


Airborne multi-wavelength "3β+2a" HSRL measurements from the TCAP field campaign



-67.63

-68.16



-69.79

-69.25

-68.71

-69.79

-69.25

-68.71

-68.16

-67.63